

WHO'S WHO IN BANKING & FINANCE

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Financial and banking services 'Who's Who' gets a makeover

Who's Who in Banking & Finance is getting a major makeover for the 2013 edition. Under the direction of Special Reports Editor Dara Barney and Managing Editor Marlize van Romburgh, we have tracked an unusually large number of changes this year as the full impact of mergers and acquisitions hit the industry. As with the rest of our Who's Who sections for 2013, we are also streamlining and standardizing the format for the presentation of our industry leader profiles.

Who's Who in Banking and Finance has been published every year since 2000; the first appearance of this section launched Pacific Coast Business Times into the business of producing special reports.

Over the years, this section has transformed from a relatively fixed hierarchy of local, regional and national institutions and has been restructured into a new lineup. In 2013, big banks such as Union Bank and Rabobank play substantially larger roles, institutions such as Wells Fargo, Bank of America, Merrill Lynch and Chase continue to be major entrants, and a new lineup of regional players is emerging.

This year, we gathered biographies of the key players in commercial banking, retail and small-business banking and private wealth management across the region. We've also made a special effort to locate and profile members of the investment advisory community. With many baby boomers hitting retirement age or selling their companies, money management and financial

planning are becoming specialized industries in their own right in our region.

To be considered for the section, a candidate must hold a senior management position and typically must be based in Ventura, Santa Barbara or San Luis Obispo counties. We also give consideration to senior officials who have a large customer presence and office in the region but whose territory encompasses a wider geographical scope.

From fairly humble beginnings, our family of Who's Who sections now encompasses a broad spectrum of industries.

Our upcoming sections include Who's Who in Agriculture & Viticulture, coming in July, and Who's Who in Clean Tech & Sustainability, out in August. Two profile sections will be published in October, including Who's Who in CFOs, Controllers and Financial Executives and Who's Who in Professional Services. We complete the cycle with Who's Who in Health Care, Insurance & Biomedicine in November and Who's Who in Nonprofits & Foundations in December.

Who's Who in Banking & Finance would not be possible without the special support of our sponsor, Wells Fargo. The section was produced by Special Reports Editor Barney and the cover was designed by Advertising Graphic Artist Elizabeth Willhite.

• Contact Editor Henry Dubroff at hdubroff@pacbiztimes.com.



HENRY DUBROFF
Editor

About the Business Times

Pacific Coast Business Times is the weekly business journal serving Ventura, Santa Barbara and San Luis Obispo counties. We have an award-winning news team and an experienced advertising staff. Subscriptions cost \$49.99 per year and include a copy of our Book of Lists, which publishes Dec. 28. Subscribe online at www.pacbiztimes.com/subscribe or by calling (805) 560-6950.

Upcoming special sections include:

- **Champions in Health Care:** May 24
- **Region's Richest:** June 7
- **Latino Business Awards:** June 21

• To nominate a person or company for a special report, visit www.pacbiztimes.com and click on "Special Sections."

• For advertising information, contact Publisher Linda Le Brock at (805) 560-6950 ext. 233 or llebrock@pacbiztimes.com.

• Get weekly updates from the Business Times by signing up for our email newsletter at www.pacbiztimes.com.

• If you have corrections or updates to our weekly Top 25 lists, contact Dara Barney at specialreports@pacbiztimes.com or at (805) 560-6950 ext. 225.



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Rich Favor,
Executive VP / COO

Joe Kreutz,
President / CEO



Call for Nominations: LATINO BUSINESS AWARDS



Section publishes June 21, 2013

Latino Business Awards is the newest member of the Business Times' family of events honoring the region's top companies. With this section, the region's leading business news publication is recognizing one of the leading segments of the tri-county economy. We are seeking nominations in eight categories.

We are accepting nominations in the following categories:

- Banking and Finance leader
- Professional & Insurance Services leader
- Agribusiness leader
- Large-businessowner or executive (more than 100 employees)
- Medium-sized business owner or executive (25-100 employees)
- Small-business owner or executive (less than 25 employees)
- Nonprofit or health-care executive
- Higher Education leader

To nominate, visit www.pacbiztimes.com/special-sections/

The Business Times is offering special advertising opportunities to accompany this special section.

Call Jared Godinez at (805) 560-6950 ext. 232 (jgodinez@pacbiztimes.com)

or Veronica Kusmuk at (805) 560-6950 ext. 229 (vkusmuk@pacbiztimes.com).

For any further questions or information on these special sections, visit at www.pacbiztimes.com.

Congratulations to the 2013 class of Who's Who in Banking & Finance

- Matthew Adams
- Robert Alviani
- Bitu Ardan
- Janice Armijo
- Kevin Bernzott
- Kevin Bourke
- David Brubaker
- Jon Bull
- Frederick Burrows
- David Catalfimo
- Andrew Chou
- Aaron Clark
- Matt Cook
- A. Read Cronin
- Jeff DeVine
- Robert Dibley
- Catherine Dishion
- Tom Dobyns
- Gary Dorfman
- Jon DuPrau
- Mason Farrell
- Richard Favor
- Dennis Forster
- Gerry Frigon
- Joanne Funari
- Janet Garufis
- Jim Glines
- Greg Glover
- Alan Griffin
- Josh Gustafson
- Leo Hamill
- Troy Hammond
- Scott Hansen
- Steven Harding
- Larry Harteck
- Lance Helfert
- Ronald Heller
- Jason Horstman
- Kenneth Hyman
- Robert Katch
- Mitchell Kauffman
- William Kearney
- Brian Kearns
- Stephen Kipp
- Cheryl Knight
- Joseph Kreutz
- David Kronen
- Robert Kulle
- Geri LaChance
- Robert Lagace
- Simone Lagomarsino
- Suzanne Leedale
- George Leis
- James Lokey
- Peter Madlem
- Joe Mathai
- Sean McCulloch
- Melissa McEacheron
- Christopher Miller
- Rita Mitchell
- Lynda Nagra
- Kevin Nichols
- Daniel Oriskovich
- Eloy Ortega
- Rob Osterbauer
- Jeff Paul
- Jordan Pearce
- Michael Phlaum
- Jeff Pittman
- Martin Plourd
- David Prichard
- David Rainer
- Reza Razzaghipour
- Anita Robinson
- Steve Rosso
- Joseph Schroeder
- Thomas Sherman
- Byron Snider
- Brad Stark
- Tom Strait
- Seth Streeter
- Gary Strom
- Bryan Sullivan
- Matt Sweetman
- Richard Tico
- Donald Toussaint
- Tim Tremblay
- Susan Weber
- Brooks Wise
- Jeff York



Matthew Adams

Director of Investments
Mission Wealth
Management
Santa Barbara



Matthew Adams is the director of investments at Mission Wealth Management and a partner in the firm. He is responsible for all aspects of portfolio management, trading, analysis and research and

leads the firm's 11-member investment committee. Prior to Mission Wealth, he worked at institutional asset management firms headquartered in Los Angeles. He completed his MBA at the University of Southern California with a concentration in finance and an emphasis on investments and financial markets.

Adams is on the board of trustees for the Museum of Natural History, serves on the museum's finance committee, and chairs the museum's investment committee, which is tasked with the oversight of the endowment.

Robert Alviani

*Business Relationship
Manager, Tri-County
Area*
First Bank
Ventura



A banker for 40 years in Ventura County, Robert Alviani has experience in lending, investments and cash management.

First Bank, founded in 1910, is the largest family-owned bank in the country, with 149 offices and more than \$6.9 billion in assets in four states.

Alviani is the business relationship officer for 12 area offices, from Encino and Malibu to Santa Maria and Valencia. Alviani was chairman of the Ventura Chamber of Commerce in 1994.

He has remained involved with the chamber since 1982.

Alviani was founder of the Leadership Ventura program and currently serves as chairman of the Ventura Chamber of Commerce Political Action Committee.

Bitu Ardan

*EVP, Division President
National Specialized
Lending Group*
Union Bank
Los Angeles



Bitu Ardan is the executive vice president in charge of the national specialized lending group at Union Bank, which has branches in the Tri-Counties.

She has more than 20 years of experience advising businesses in a wide range of industries. Ardan oversees several of the bank's national growth strategies including the commercial finance division, the environmental services group, the nonprofit group, the health care group, the aerospace and defense group, and the fund finance group, that finances the needs of sponsored investment funds, including bridge capital and subscription facilities. She is responsible for both the sales and marketing and credit management of all of these groups. Ardan serves as a board member of the Los Angeles Police Foundation and ACG L.A.'s M&A Conference Committee.

Janice Armijo

SVP, Regional Manager
California Republic Bank
Westlake Village



Janice Armijo is the senior vice president and regional manager for California Republic Bank in Westlake Village.

California Republic Bank offers direct access to executive management and responsiveness in order to establish long-term customer relationships.

The bank operates four full-service regional bank branches in Newport Beach, Beverly Hills, Irvine and Westlake Village. Armijo serves on the board of directors of Boys and Girls Clubs of Greater Conejo Valley, and is a member of the Greater Conejo Valley Chamber of Commerce. Armijo received a bachelor's degree in business, and maintains a designation of CRCM from the Institute of Certified Bankers.

Kevin Bernzott

Chairman, CEO
Bernzott Capital
Advisors
Camarillo



Kevin Bernzott is chairman and CEO of Bernzott Capital Advisors, a private independent money manager for foundations, endowments, retirement and Taft-Hartley plans, businesses and individuals and families. Bernzott is a director of the Ventura County Sheriff's Foundation, a member of the Defense Orientation Conference Association, a founder and past president of the Oxnard Police Activities League, a former trustee of the Oxnard Police Foundation, past president of the Greater Oxnard Area Chamber of Commerce and a former officer and director of the Ventura County Council, Boy Scouts of America. He received a law degree from the University of West Los Angeles and serves as a reserve senior deputy sheriff for the Ventura County Sheriff's Office.

Kevin Bourke

Founder
Bourke Wealth
Management
Santa Barbara



Kevin Bourke is the founder of Santa Barbara-based Bourke Wealth Management and a certified financial planner professional with more than 25 years of experience. Investment management is at the core of his business, along with divorce, estate, education, tax and retirement planning. In addition to being a CFP, Bourke is also a chartered financial consultant, a certified divorce financial analyst, and has various FINRA qualifications and insurance licenses. Bourke is the author of "Make Your Money Last a Lifetime," and is a frequent community speaker. He holds regular continuing education seminars for local tax and legal professionals and is active in the community. He currently serves on the board of trustees for Child Abuse Listening and Mediation and the governing body at Santa Barbara Village.

David Brubaker

President, CEO
Ojai Community Bank
Ojai



David Brubaker, president and CEO of Ojai Community Bank, is a longtime resident of Ventura.

He received his bachelor's degree in business administration and finance from San Diego State University and has more than 25 years of banking experience.

He has worked in community banking in Santa Barbara and Ventura counties his entire career, at banks including Bank of A. Levy, Bank of Ventura, Business First National Bank and County Commerce Bank in Ventura.

Brubaker is an active member of the community. He holds board seats on Villanova Prep School, Ojai Rotary and the Ojai Valley Chamber of Commerce.